

# PATENT ATTORNEY DOCKET NO. INTEL1460-1(P14174X)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Xing Su et al.

Art Unit:

Not Yet Assigned

IFW

Serial No.:

10/697,682

Examiner

Not Yet Assigned

Filed:

October 29, 2003

Title:

METHODS AND DEVICE FOR ANALYTE CHARACTERIZATION

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

### TRANSMITTAL SHEET

Sir:

Transmitted herewith for the above-identified application, please find:

- (1) Information Disclosure Statement (2 pages);
- (2) PTO-1449 Form (1 page);
- (3) Four (4) References;
- (4) International Search Report (8 pages); and
- (5) Return postcard;

## **CERTIFICATION UNDER 37 CFR §1.8**

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, January 26, 2005 in an envelope addressed to: Mail Stop Amendment: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Stephanie Sharrett

Name of Person Mailing Pape

aut January 26, 2005

Signature

In the Application of Xing Su et al.

Application Serial No.: 10/697,682

Filed: October 29, 2003

PATENT
Attorney Docket No.: INTEL1460-1 (P14174X)

No fee is deemed necessary in connection with the filing of the these papers. However, if fee is required, authorization is given to charge the amount of this fee to Deposit Account No. 07-1896. A duplicate copy of this Transmittal Sheet is enclosed.

Respectfully submitted,

Date: <u>January 26, 2005</u>

Michael P. Reed, J.D., Ph.D. Registration No.: 45,647 Telephone: (858) 638-6754 Facsimile: (858) 677-1465

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Customer No. 28213

PATENT

Attorney Docket No.: INTEL1460-1 (P14174X)

Xing Su et al.

Art Unit: Examiner

Not Yet Assigned Not Yet Assigned

Serial No.:

10/697,682

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METHODS AND DEVICE FOR ANALYTE CHARACTERIZATION

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. 1.97, enclosed are references relating to the aboveidentified application. For the convenience of the Examiner, these references are listed on the attached Form PTO-1449 and a copy of Items H through K are enclosed herewith.

It is respectfully requested that these references be considered in the examination of this application and their consideration be made of written record in the application file.

Each item contained herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

#### **CERTIFICATION UNDER 37 CFR §1.8**

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, January 26, 2005 in an envelope addressed to: Mail Stop Amendment: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Stephanie Sharrett

Name of Person Mailing Paper

huut January 26, 2005

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1090132-57

In re Application of:

Su, et al.

PATENT Attorney Docket No.: INTEL1460-1 (P14774X)

Application No.: 10/697,682 Filed: October 29, 2003

Page 2

This Information Disclosure Statement is being filed prior to receipt of a First Office Action on the merits. Therefore, no fee is deemed necessary. However, the Commissioner is hereby authorized to charge any amounts required by this filing, or credit any overpayment, to Deposit Account No. 07-1896.

Respectfully submitted,

Date: <u>January 26, 2005</u>

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	Docket No.:	Serial No.:
	INTEL1460-1 (P14174X)	10/697,682
Trademark Office	ଞ୍ଚ	
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INFORMATION DISCLOSURE STATEMENT	Filing Date:	Group Art Unit:
BY APPLICANT	October 29, 2003	Unassigned

# **U.S. PATENT DOCUMENTS**

EXAM. INITIALS	=	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	A	6,355,420	3/12/02	Chan			
	В	6,514,767	2/4/03	Michael Natan	436	166	
	C	2003/059822	3/27/03	Gilmanshin et al.			
	D .	2003/207326	11/6/03	Su, et al.			

#### FOREIGN PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES/NO)
	Е	WO/01/25794	4/12/01	PCT			
	F	WO/03/078649	9/25/03	PCT			
	G	WO/03/106620	12/24/03	PCT			

# OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

Н	Doering, et al., "Spectroscopic Tags Using Dye-Embedded Nanoparticles and Surface –Enhanced Raman Scattering", Analytical Chemistry, :5-9
I	Fisher, et al, "Lipid Binding –Induced Conformational Changes in the N-Terminal Domain of Human Apolipoprotein E", J. of Lipid Res., 40(1):93-99 (January 1999)
J	Lillo, et al., "Design and Characterization of a Multisite Fluorescence Energy-Transfer System for Protein Folding Studies: A Steady-State and Time-Resolved Study of Yeast Phosphoglycerate kinase", <i>Biochem.</i> Am. Chem. Soc. 36(37):11261-11272 (1997).
K	Mulvaney, et al., "Glass-Coated, Analyte-Tagged Nanoparticles: A New Tagging System Based on Detection with Surface-Enhanced Raman Scattering", Am Chem Soc. 19:4784-4790 (2003).

EXAMINER	DATE CONSIDERED
GT\6431925.1 1090132-57	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.